

Date: July 23, 1999

AMENDMENT AND RESPONSE TO  
RESTRICTION REQUIREMENT

I hereby certify that this is being deposited with the United States  
Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

PATENT  
Attorney Docket No.: 02307E-071420US  
Client Reference No.: 98-197-1

Signed: \_\_\_\_\_

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Papahadjopolous, *et al.*

Application No.: 09/076,618

Filed: May 12, 1998

For: METHODS FOR FORMING  
PROTEIN-LINKED LIPIDIC  
MICROPARTICLES, AND  
COMPOSITIONS THEREOF

Examiner: KETTER, J.

Art Unite: 1636

AMENDMENT AND RESPONSE TO  
RESTRICTION REQUIREMENT

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicants respond herein to the restriction requirement in this case dated June 24, 1999 (the "Action"). Applicants elect Group IV, claims 59-80, with traverse.<sup>1</sup>

Please also enter the following amendment:

IN THE CLAIMS

Please **amend** claim 48, line 2, by inserting the word "organic" immediately before the word "polycation".

<sup>1</sup> The "Office Action Summary" sheet states that claims 1-70, rather than 1-80 are pending and are subject to restriction. Since the text of the Action describing Group IV correctly refers to claims 59-80, Applicants assume that this is an inadvertent error and that the Examiner acknowledges that claims 1-80 are indeed pending.

**TO THE U.S. PATENT & TRADEMARK OFFICE**

Please stamp the date of receipt of the following document(s)  
and return this card to us:

RE: PAPAHADJOPOULOS, et al.

**TITLE OF DOCUMENT(S):**

AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

(Responsive to 6/24/99 Requirement);

Transmittal (in triplicate); return postcard

Application No.	09/076,618
File No.	02307E-071420US
Date Due	July 24, 1999
Date Mailed	July 23, 1999
Atty/Secty.	LJH/atm

**TO THE U.S. PATENT & TRADEMARK OFFICE**

Please stamp the date of receipt of the following document(s)  
and return this card to us:

RE: PAPAHADJOPOULOS, et al.

**TITLE OF DOCUMENT(S):**

AMENDMENT AND RESPONSE TO RESTRICTION REQUIREMENT

(Responsive to 6/24/99 Requirement);

Transmittal (in triplicate); return postcard

Application No.	09/076,618
File No.	02307E-071420US
Date Due	July 24, 1999
Date Mailed	July 23, 1999
Atty/Secty.	LJH/atm



Amendment

**TOWNSEND and TOWNSEND and CREW LLP**  
Two Embarcadero Center, 8<sup>th</sup> Floor  
San Francisco, California 94111-3834  
(415) 576-0200

Attorney Docket No. 02307E-071420US  
Client Ref No. UC Case No. 98-197-1

In re application of: Papahadjopoulos, *et al.*

Date: July 23, 1999

Application No.: 09/076,618

I hereby certify that this is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Filed: May 12, 1998

Assistant Commissioner for Patents  
Washington, D.C. 20231

Group Art Unit: 1636

For: METHODS OF FORMING PROTEIN-LINKED LIPIDIC  
MICROPARTICLES, AND COMPOSITIONS THEREOF

**THE ASSISTANT COMMISSIONER FOR PATENTS**  
Washington, D.C. 20231

Signed: [Signature]

Sir:

Transmitted herewith is an amendment in the above-identified application.

- ☐ Enclosed is a petition to extend time to respond.  
☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.  
☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.  
☐

If any extension of time is needed, then this response should be considered a petition therefor.  
The filing fee has been calculated as shown below:

	(Col. 1)		(Col. 2)		(Col. 3)
	CLAIMS REMAINING AFTER AMENDMENT		HIGHEST NO. PREVIOUSLY PAID FOR	PRESENT EXTRA	
TOTAL	*	MINUS	**	=	0
INDEP.	*	MINUS	***	=	0
<input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM					

SMALL ENTITY		OR	OTHER THAN SMALL ENTITY	
RATE	ADDIT. FEE		RATE	ADDIT. FEE
x \$9.00 =	0		x \$18.00 =	\$0.00
x \$39.00 =	0		x \$78.00 =	\$0.00
+ \$130.00 =			+ \$260.00 =	
TOTAL ADDIT. FEE	0	OR	TOTAL	\$0.00

- \* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.  
\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.  
\*\*\* If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, then write "3" in this space. The "Highest Number Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

☒ No fee is due.

Please charge Deposit Account No. 20-1430 as follows:

☐ Claims fee \$ \_\_\_\_\_  
☒ Any additional fees associated with this paper or during the pendency of this application.

2 extra copies of this sheet are enclosed.

TOWNSEND and TOWNSEND and CREW LLP

[Signature]  
Laurence J. Hyman, Reg. No.: 35,551  
Attorneys for Applicant